



Instructions: Complete this form and submit, together with the Additional Information listed in Section 4 (as applicable), to Connecticut Community Investment Corporation (CTCIC) by mail at 2315 Whitney Avenue – Suite 2B Hamden, CT 06518 or by secure upload at http://dropbox.yousendit.com/CTCICDocDelivery. Please reference Applicant Name and Program in all communication.

Section One: Program (Please check below the component you are applying to)

Revolving Loan Fund (10k-100k) Job Creation Incentive (loan 10k-250k) Job Creation Matching Grant (10k-100k)

Section Two: Applicant Information

Applicant (Recipient of Funds):

Address (City, State, Zip Code): Website:

Federal ID Number: State Tax Registration #:

Project Location: County:

Contact Information: (Name, Title)

Tel #1: Tel #2:

Fax: Email:

Business Industry: NAICS Code:

Applicant Structure (e.g. corporation, LLC, etc.):

Date Established: State of Incorporation:

Employment: Existing Full Time Part-Time New Jobs Full Time Part-Time Anticipated timeframe for new Jobs:

Ownership (Submit under separate sheet attachment): (1) list of Name(s), (2) Title(s), (3) Address, (4) % of Ownership, (5) identify the % of women owned or minority owned if applicable; (6) Soc. Sec. # and/or Federal ID#:

Company Status

- Does the Applicant have any delinquent State, Federal or Local Taxes? (If yes, submit explanation) No Yes
Has the Applicant or its owners ever filed or bankruptcy? (If yes, submit explanation) No Yes
Has any individual owner of the Applicant ever been arrested or convicted of a crime? (If yes, submit explanation) No Yes
Is the Applicant a party to any law suit or have any pending or threatened litigation or environmental, OSHA or other enforcement action? (If yes, submit explanation) No Yes

Section Three: Assistance Request Information

Amount Requested: \$

Project Description and Use of Funds Request (Submit under separate sheet attachment)

See the Small Business Express Program details for eligible uses. Provide a detailed description of the Project to be undertaken and the intended uses for the funding. Include any owner equity amounts and the source of the equity (savings; business operating account).

Section Four: Additional Information/Documentation

- Last three years tax returns (Applicant and Owners) and accountant-prepared financials, including notes, if available (Applicant). Internally prepared interim statements current within 90 days.
Applicant Structure Documentation (e.g. Articles of Incorporation, Operating Agreement)
Economic Impact - Small Business Express Program is an economic incentive program. In addition to the Employment information listed in Section 2, provide a explanation of the projected positive economic impact that will result from participation in the Program (e.g. stabilized or increased sales; increased exports) if applicable.
Cash Flow and Profit & Loss Projections (Form located on CTCIC Website www.ctcic.org under Business Resources – Applications. You may use alternate format.)
Business Plan
Personal Financial Statements for all owners with over 20% ownership (Form located on CTCIC Website www.ctcic.org under Business Resources – Applications. You may use an alternate format.)

Section Five: Certification

It is hereby represented by the undersigned to the State of Connecticut including but not limited to the Department of Economic and Community Development and to the Connecticut Community Investment Corporation (CTCIC) to consider the financial assistance requested herein, that to the best of my knowledge and belief no information or data contained in the Application or in the attachments are in any way false or incorrect and that no material information has been omitted, including the financial statements. The undersigned agrees that banks, credit agencies, the Connecticut Department of Labor, the Connecticut Department of Revenue Services, the Connecticut Department of Energy and Environmental Protection, and other references are hereby authorized now, or anytime in the future, to give the State of Connecticut including but not limited to the Department of Economic and Community Development and/or CTCIC any and all information in connection with matters referred in this Application, including information concerning the payment of taxes by the applicant, its owners, and executives. In addition, the undersigned agrees that any funds that may be provided pursuant to this Application will be utilized exclusively for the purposes represented in this Application, as may be amended. False statements made in the preparation and submission of this applicant and related materials are punishable as a Class A Misdemeanor under Connecticut General Statutes 53a-157b.

By submitting this document the undersigned certifies and agrees to the above both individually and on behalf of the Applicant.

Print Name: Signature: Date: